



**NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY**

**ATTACHMENT A - PON No. 1524 PROPOSAL CHECKLIST (MANDATORY)**

|   |        |  |     |
|---|--------|--|-----|
| Proposal Title: Tenant Fit-Up and Equipment Incentives for Tenants at 107 Hermes Rd, Saratoga Technology + Energy Park®   |        | Due Date Through December 31, 2009   |     |
| Primary Contact (Prime Contractor)  |        | Title  |     |
| Company   | Phone  | Fax  |     |
|   | e-mail |  |     |
| Federal Tax Identification #/Social Security  |        |  |     |
| Address   | City   | State or Province  | Zip |
| Secondary Contact   |        | Title  |     |
| Company   | Phone  | Fax  |     |
|   | e-mail |  |     |
| Address   | City   | State or Province  | Zip |
| <p><b>THE PRIME CONTRACTOR MUST SIGN THIS FORM BELOW and ANSWER THE FOLLOWING QUESTIONS:</b></p> <p>Do you accept all Terms &amp; Conditions in the Sample Agreement? (if no, explain on separate pg) <span style="float: right;">___ Yes ___ No</span><br/>         (NYSERDA anticipates considering only specifically listed exceptions in negotiations.)</p> <p>Have you been indicted/convicted for a felony within the past 5 years? (if yes, explain on separate pg) <span style="float: right;">___ Yes ___ No</span></p> <p>Are you a Minority or Women-Owned Business Enterprise? <span style="float: right;">___ Yes ___ No</span></p> <p>Does your proposal contain Minority or Women-Owned Business enterprises as subcontractors? <span style="float: right;">___ Yes ___ No</span></p> <p>Are you submitting the required number of copies? (See proposal instructions.) <span style="float: right;">___ Yes ___ No</span></p> <p>Is other public funding pending/awarded on this and/or very similar topic (prior and/or competing proposals)? <span style="float: right;">___ Yes ___ No</span><br/>         (if yes, explain on separate page)</p> |        |  |     |
| <b>ON WHAT PAGE IN YOUR PROPOSAL CAN THESE ITEMS BE FOUND?</b>  |        |  |     |
| Company/Applicant <span style="float: right;">___</span><br>Lease <span style="float: right;">___</span><br>Funding Request/Employment Projections <span style="float: right;">___</span><br>Tenant Criteria Description <span style="float: right;">___</span>   |        | Indictment/Conviction of Felony (if applicable) <span style="float: right;">___</span><br>NYSERDA Contracts Awarded (if applicable) <span style="float: right;">___</span><br>Prior and/or Competing Proposals (if applicable) <span style="float: right;">___</span><br>Exceptions to Terms & Conditions (if applicable) <span style="float: right;">___</span><br><br>Disclosure of Prior Findings of Non-responsibility Form <span style="float: right;">___</span> |     |
| <b>AUTHORIZED SIGNATURE &amp; CERTIFICATION</b>   |        |  |     |
| I certify that the above information, and all information submitted in connection with State Finance Law §139-j and §139-k, is complete, true, and accurate, and that the proposal requirements noted have been completed and are enclosed. I affirm that I understand and will comply with NYSERDA's procedures under §139-j(3) and §139-j(6)(b) of the State Finance Law. I understand that this proposal may be disqualified if the solicitation requirements are not met. I the undersigned am authorized to commit my organization to this proposal.   |        |  |     |
| Signature   |        | Name   |     |
| Title   |        | Organization   |     |
| Phone   |        |  |     |

**NOTE:** This completed form **MUST** be signed and attached to the front of all copies of your proposal.