



Technical Assistance Program Program Opportunity Notice (PON)1197

**Applications accepted on a first-come, first-serve basis
through November 30, 2009**

SUMMARY OF REVISIONS

The following changes have been made to PON 1197, Technical Assistance Program:

- Peak Load Curtailment Plans will no longer be restricted to Con Edison service territory.
- The number of open applications or projects that Customers and Service Providers are eligible to have at any given time been increased from four to six.



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Technical Assistance Program Opportunity Notice (PON) No. 1197

PROGRAM SUMMARY:

The New York State Energy Research and Development Authority (NYSERDA), as administrator of the **New York Energy SmartSM** Program, is seeking applications from facilities interested in energy efficiency technical evaluations, peak-load reduction studies, energy procurement analysis, proposals that study the feasibility of implementing combined heat & power (CHP) and renewable generation, and Peak-Load Curtailment Plans. This solicitation is divided into three (3) separate components: 1) Energy Efficiency Technical Assistance; 2) CHP and Renewable Generation Technical Assistance; and 3) Peak-Load Curtailment Plan Technical Assistance.

For most Energy Efficiency Technical Assistance and CHP and Renewable Generation Technical Assistance projects, NYSEDA will cost-share up to \$500,000 on selected studies performed by an independent Service Provider as described herein. For electrical customers of Consolidated Edison Company of New York Inc. (Con Edison), NYSEDA will cost-share up to \$1,000,000 on such studies. For Peak-Load Curtailment Plans, which are available to Con Edison customers only, NYSEDA will provide up to \$2/kW of the facility's peak summer (May-October) electrical demand directly to Service Providers.

APPLICATION SUBMISSION: All applications should be clearly labeled and sent to:

Roseanne Viscusi, PON 1197
NYS Energy Research and Development Authority
17 Columbia Circle
Albany, New York 12203-6399

CHP and renewable generation program questions should be directed to Mark Gundrum (866-NYSERDA, ext. 3256). All other program questions should be directed to Rachel Adams (212-971-5342, ext. 3016). All contractual questions should be directed to Doreen Darling (866-NYSERDA, ext. 3216).

** Applications are due by 5:00 pm Eastern Time. Late, incomplete applications or scopes of work will be returned. Faxed or e-mailed applications will not be accepted. Applications will not be accepted at any other NYSEDA location other than the address specified below.

I. INTRODUCTION

The Technical Assistance program goal is to increase productivity and economic competitiveness of participating facilities through implementation of cost-effective, energy efficiency measures, peak-load curtailment and CHP and renewable generation projects.

This solicitation is divided into three (3) separate components: 1) Energy Efficiency Technical Assistance; 2) CHP and Renewable Generation Technical Assistance; and, 3) Peak-Load Curtailment Plan Technical Assistance.

All three components will be reviewed for funding on a first-come, first-serve basis. Applicants may choose to submit an application to any component. Separate applications must be submitted for each component, except that an application for both energy efficiency and CHP and renewable generation measures may be combined. This combined application will be accepted only to the CHP and renewable generation component. General classifications are defined below.

ENERGY EFFICIENCY PROJECT CLASSIFICATIONS

Applicants may propose projects in one or more of the following energy efficiency project classifications:

- **Peak-Load Reduction and Load Management** studies evaluate the installation of permanent equipment or operational procedures that reduce electrical peak summer demand, and identify short-term electric load curtailment strategies. Historically, peak-load occurs between May 1 and October 31, during the hours of Noon to 6:00 P.M. A small portion of the study costs may be used to purchase and install permanent interval meters to provide real-time metering information for facilities.
- **Energy Feasibility** studies evaluate improving the energy efficiency of a facility by identifying cost-effective measures which, in turn, increase productivity and economic competitiveness. Types of assistance eligible for funding will generally be in the categories of energy-efficient equipment replacement and evaluating energy related process improvements.
- **Energy Procurement** studies analyze rates, load shape(s), and energy service aggregation opportunities. The goal is to help customers become more energy-efficient, and obtain a better understanding of their energy consumption, time of use, and purchase options through cost-effective procurement practices. Examples of projects eligible for funding include: analyzing customer baselines/loads/rates; obtaining technical assistance to help customers to prepare Requests for Proposals (RFPs), review proposals, and prepare for negotiations with power marketers; obtaining advice on load aggregation and energy efficiency projects from energy service companies (ESCOs) with respect to potential performance contracting opportunities; and aggregating customers to purchase energy.
- **Energy Efficiency Retro-Commissioning (RCx)** studies analyze and evaluate procedures that reduce energy consumption and costs by identifying operational efficiency improvements. RCx is a systematic process that optimizes the performance of an existing building or system. RCx does not include replacement of significant HVAC or other building components, but rather focuses on the verification of proper control strategies, sequence of operations, operations and maintenance plans, and other building or system optimization strategies.
- **Long-Term Energy Management** studies that develop long-term capital budget strategies for the systematic replacement or upgrade of energy consuming and capital intensive systems. They evaluate the current condition of the existing equipment, its expected useful life, and energy-efficient replacement alternatives as a basis for a long-term capital budget strategy.
- **Industrial Process Energy Analysis** studies assess and modify industrial processes to increase the efficiency of energy and material use. Projects may also focus on industrial process improvements aimed at increasing productivity, improving environmental performance, or minimizing waste.

- **Sustainable Energy Planning/Project Development Services** can provide multi-year technical support for energy performance contracting, project financing proposals, assistance with planning and implementation of strategies to reduce environmental footprints and for other assistance that promotes the implementation of energy efficiency products or services. Projects may include the following components: screening level energy evaluations of campuses, creating energy awareness programs, development of detailed technical support for decisions regarding the purchase of energy-efficient equipment, development of a baseline inventory of energy consumption and resulting waste streams, including CO₂ emissions, help in preparing bid documents for the purchase and installation of this equipment, preparation of RFPs, review of ESCO proposals, development of scopes-of-work for comprehensive energy audits conducted by a selected ESCO, review of comprehensive energy audits, review of proposed energy performance contracts, design review assistance, performance of independent measurement and verification (M&V) that equipment and installations are as specified, review of annual savings reports from ESCOs during the guarantee period, conflict resolution, and guidance for regulatory or environmental permitting.

CHP & RENEWABLE GENERATION PROJECT CLASSIFICATIONS

Applicants may propose to undertake one of the following electric generation project classifications:

- **Combined Heat & Power** studies that will investigate the site-specific technical and economic feasibility of installing CHP. All applications must identify the specific uses for the recovered heat. See Appendix C-1 for a description of eligible CHP projects.
- **Renewable Generation** studies that will investigate the site-specific technical and economic feasibility of installing electric generation capacity fueled by renewable resources. See Appendix C-1 for a definition of eligible renewable generation projects.

PEAK-LOAD CURTAILMENT PLAN TECHNICAL ASSISTANCE PROJECT CLASSIFICATIONS

Service Providers may submit an application for funds for the development of a Peak-Load Curtailment Plan. The purpose of this program component is to encourage service providers to develop comprehensive protocols which allow their Customers, in the Con Edison service territory, to respond to load curtailment calls from the New York Independent System Operator (NYISO) during periods of New York electrical system capacity constraints. Proof of customer registration in the NYISO Installed Capacity/Special Case Resources (ICAP/SCR) program is required. This “proof” can be in the form of a forwarded email from the NYISO providing the qualified load. Registration of curtailable load with the NYISO must not have taken place prior to the release of this solicitation.

SERVICE PROVIDER SELECTION

Technical Assistance Program participants choose their own qualified consultant. Alternatively, participants may request use of one of NYSERDA’s pre-contracted FlexTech consultants by filling out the referral form at: <http://www.nyserda.org/programs/flextech.asp>. Potential Service Providers include, but are not limited to, ESCOs, energy consultants, and utility companies.

Customers seeking energy efficiency studies with annual electric utility bills less than \$75,000 are encouraged to apply for the Energy Audit Program which provides low-cost, cost-shared, walk-through energy audits. More information about the Energy Audit Program is available at: www.nyserda.org/programs/energyaudit.asp.

ELIGIBLE PARTICIPANTS

Eligible participants include: NYS industrial and commercial facilities, State and local governments, not-for-profit and private institutions, public and private K-12 schools, colleges and universities, and health care facilities. NYSERDA is particularly interested in working with NYS electric distribution system customers of Central Hudson Gas & Electric Corporation, Con Edison, New York State Electric & Gas Corporation, National Grid, Orange and Rockland Utilities, Inc., and Rochester Gas and Electric Corporation, and commercial gas customers of Con Edison who pay the Monthly Rate Adjustment (MRA).

AVAILABLE FUNDS

Up to \$8,500,000 in funds are available through the Technical Assistance Program and will be paid on a first-come, first-serve basis.

II. PROGRAM REQUIREMENTS AND LIMITATIONS

MATCHING CONTRIBUTIONS/FUNDING LIMITS

With the exception of Peak-Load Curtailment Plans, all projects must include cost-sharing in the form of matching cash support from the customer. An independent third-party Service Provider is required for all projects. In-kind contributions of any type are not allowed as matching funds. For most customers, NYSERDA will contribute fifty percent (50%) of the eligible study costs, up to the lesser of either \$500,000 or ten percent (10%) of the applicant's annual energy costs, based on an approved Scope of Work.

For Energy Efficiency Technical Assistance and CHP & Renewable Generation Technical Assistance on behalf of Con Edison Electric customers, NYSERDA will contribute fifty percent (50%) of the eligible study costs, up to the lesser of either \$1,000,000 or ten percent (10%) of the applicant's annual energy costs, based on an approved Scope of Work.

For Peak-Load Curtailment Plan Technical Assistance, NYSERDA will provide \$2/kW of the facilities peak summer (defined as May-October) demand, up to \$8,000 directly to the Service Provider. To be eligible, curtailable load must be equal to or greater than 10% of the facility's peak summer demand and must exceed 100kW.

OVERALL TECHNICAL ASSISTANCE PROGRAM LIMITATIONS/REQUIREMENTS

- Applications requesting funding for both Energy Efficiency Technical Assistance and CHP & Renewable Generation Technical Assistance will only be reviewed through the CHP & renewable generation component of the PON. Applicants wishing to combine efficiency and generation are required to submit their applications through the CHP and renewable application process. Separate applications are required for all other components.
- Customers of the New York Power Authority (NYPA) or the Long Island Power Authority are not eligible for funding under this solicitation, except, NYPA customers who are also Con Edison gas customers assessed the MRA under rate classifications SC 1, SC 2, SC 3, SC 13 or, corresponding SC 9 transportation customers. These customers are eligible for natural gas-only studies. Others are encouraged to contact their local Utility Customer Service Representative about energy efficiency.
- With the exception of Peak-Load Curtailment Plan Technical Assistance, technical assistance provided and costs incurred, prior to authorization from NYSERDA (in the form of a NYSERDA issued Purchase Order), are not eligible for funding.
- NYSERDA's funding under this program is limited to 10% of the applicant's annual energy cost.
- Customers, and Service Providers, are limited to six (6) open applications and/or projects in the current or previous Technical Assistance Programs at any given time.
- Unless otherwise negotiated, all work funded under this program must be completed within two years of issuance of the Purchase Order.
- New facilities, or those that have undergone substantial renovations, must be occupied for more than one year to be eligible for Energy Efficiency Technical Assistance funding under this solicitation.

- New construction commissioning is not eligible for funding under this program.
- Detailed engineering design is considered an implementation effort under this solicitation and is not eligible for funding under this program.
- Service Provider travel costs are limited to 3% of the total project cost.
- Applications seeking funding for single, multifamily, or Publicly Assisted Housing are not eligible under this program, but may apply under NYSERDA's Residential Energy Affordability programs. Information is available by calling toll-free 1-866-NYSERDA or at www.GetEnergySmart.org.
- Applications seeking funds to support the sale or distribution of energy are not eligible for funding under this program except as defined in Appendix C-1.
- Equipment purchases are not eligible for funding under this program, except for metering equipment representing less than 25% of the eligible Technical Assistance project costs. To be eligible for funding, the metering equipment must be a necessary component of the funded study.
- No Service Provider can apply for more than 25% of the funds available under this program.
- Power quality, power factor, and power conditioning studies are not eligible for funding under this program.
- Utility billing error analysis is not eligible for funding under this program.

ADDITIONAL ENERGY EFFICIENCY COMPONENT REQUIREMENTS/LIMITATIONS

- RCx services funded under this component of the program must be specifically focused on energy efficiency. RCx studies without the potential for significant energy savings are not eligible for funding. Study costs may be prorated, at NYSERDA's discretion, with NYSERDA cost-sharing only the energy efficiency components. Funds may be used for evaluation of facilities only and may not be used to correct deficiencies found. Calculations demonstrating the potential energy impacts from repairing each deficiency are required. NYSERDA reserves the right to determine which projects are classified as RCx.

NYSERDA may also require that the Scope of Work include a list of the components contained within the system being retro-commissioned. This could include identifying the size, type, age and location of all air handlers, pumps, chillers, control points, etc.

- Electrical interval metering or sub-metering may be eligible for funding where the added expense is justified through increases in the overall effectiveness of the technical evaluation or leads to participation in one of the NYISO load management programs. The purpose and type of interval meter along with installation locations must be detailed in the scope of work. Interval meters must be capable of capturing time sensitive data no less frequently than once-per-hour. Data must be accessible no less than once-per-day. Requested funds for the meters can not exceed 25% of the eligible Technical Assistance project cost.
- Organizations which generate, transmit or distribute energy for sale are not eligible for funding under this component of the program.

ADDITIONAL CHP & RENEWABLE GENERATION COMPONENT REQUIREMENTS/LIMITATIONS

- For renewable projects, the quantity and quality of the renewable energy resource should be determined before applying to this component. Any costs related to resource characterization should represent a small percentage of funds requested through this program. Customers interested in renewable projects are strongly encouraged to visit <http://www.powernaturally.org/> for more information.

CHP & RENEWABLE GENERATION COMPONENT INELIGIBLE PROJECTS

- Applications for studies of non-renewable generation without a heat recovery component are not eligible for funding.
- Applications seeking assistance with evaluating systems where the potential renewable generation capacity would be larger than 5 MW are not eligible for funding.
- Applications seeking assistance with evaluating CHP systems for which the potential generation capacity would be larger than 50 MW or mechanical equivalent in total prime mover capacity, including back up, are not eligible for funding.
- Applications seeking assistance with evaluating CHP systems for which less than 75% of the electricity generated would be used on-site are not eligible for funding.

ADDITIONAL PEAK-LOAD CURTAILMENT PLAN REQUIRMENTS/LIMIATIONS

- Industrial facilities must have a peak summer demand (defined as May-October) exceeding 400 kW. Other facilities must have a peak summer demand exceeding 750 kW.
- To be eligible for funding, projects must not have sold the capacity identified in the Peak Load Curtailment Plan into the NYISO Demand Response programs (ICAP/SCR) more than 60 days prior to application. The sold date refers to the day in which the load becomes eligible for payment from the NYISO.
- Peak-Load Curtailment Plans must demonstrate that the peak-load is technically capable of being curtailed.
- A facility must demonstrate that it is capable of curtailing a minimum of 10% of its peak summer demand to be eligible.
- Peak-Load Curtailment Plans must demonstrate that the curtailable peak-load is greater-than or equal-to 100 kW.

III. APPLICATIONS

Applications will be accepted until 5:00 pm on November 30, 2009. At least one copy of the application must contain an original signature. Faxed copies will not be accepted. Late, incomplete, or unsigned applications will be returned.

To apply to the **ENERGY EFFICIENCY TECHNICAL ASSISTANCE** component of this solicitation:

- Send two (2) copies of the completed application (Appendix A and A-1) and a complete Scope of Work (as defined in Appendix B-1) to the attention of Roseanne Viscusi at the address on the beginning of this PON.

To apply to the **CHP AND RENEWABLE GENERATION TECHNICAL ASSISTANCE** component of this solicitation:

- Send one hard copy and one electronic copy on a CD of the completed application (Appendix A and A-2) to the attention of Roseanne Viscusi at the address at the beginning of this PON.
- A complete application is comprised of Appendix A, Appendix A-2, a Scope of Work with an attached detailed budget (as defined in Appendix B-1) for the study to be conducted, and a two page list of the Service Provider's relevant project experience. Applications containing scopes of work that do not expand upon the requirements in Appendix C-2 or C-3 (as appropriate), to include site and project specific issues, will not be favorably reviewed.

To apply for the **PEAK-LOAD CURTAILMENT PLAN TECHNICAL ASSISTANCE** component of this solicitation:

- Send two (2) copies of the completed application (Appendix A and A-3), along with a Peak-Load Curtailment Plan for each facility (as outlined in Appendix D) and copies of one year of electricity bills to the attention of Roseanne Viscusi at the address at the beginning of this Program Opportunity Notice.

IV. AWARDS

ENERGY EFFICIENCY PROJECTS

When NYSERDA receives an application (Appendix A and A-1) and a completed Scope of Work as defined in Appendix B, the application will be reviewed to verify that it meets the Technical Assistance program requirements, and the completed Scope of Work will be reviewed to assure it follows the format set forth in the Guidelines for Scopes of Work (Appendix B). If the application and/or completed Scope of Work do not meet NYSERDA's requirements, the entire package will be returned to the customer. If the application qualifies and the completed Scope of Work follows the guidelines, NYSERDA will assign a NYSERDA Project Manager and Technical Review Contractor who will issue comments to the customer requesting revisions and clarification of the Scope of Work as necessary.

Upon approval of a Scope of Work, NYSERDA will issue a Purchase Order to the customer. Technical assistance provided and costs incurred prior to the issuance of this Purchase Order are ineligible for funding under the Energy Efficiency Technical Assistance component of this program.

Final reports must comply with the requirements in Appendix B-2. Upon receipt of two copies of the draft report, the assigned Technical Review Contractor will issue comments to be addressed in the Final Report as necessary. Upon receipt and approval of two copies of the Final Report, NYSERDA will require a copy of the Service Provider's invoice(s) to the applicant indicating the total study cost, a copy of the interval meter invoice(s) if applicable, and an invoice from the applicant to NYSERDA. In addition, NYSERDA may also require a copy of the canceled check paying the total study cost paid to the Service Provider. The Service Provider's invoice(s) must be broken out by non-labor costs, individuals, titles, hourly rates, dates and hours worked on each task. At NYSERDA's discretion, a copy of the canceled check(s) from the applicant to the Service Provider showing the total study cost and a copy of the lump-sum invoice may be accepted in lieu of a detailed invoice.

NYSERDA will reimburse the applicant the percentage of the actual Energy Efficiency Technical Assistance study as provided in the issued Purchase Order and documented by invoices, canceled checks, etc. When appropriate, NYSERDA may issue progress payments based on documented work delivered, up to the allowable percentage of the study cost, not-to-exceed 50% of NYSERDA's total financial commitment.

CHP AND RENEWABLE GENERATION PROJECTS

Applications will be evaluated by NYSERDA staff members and outside technical experts on a first come first served basis. The review will involve a comparative evaluation of the criteria in Appendix C-5. Applicants will be notified of the funding decisions approximately three (3) weeks after submission.

Upon approval, NYSERDA will assign a Project Manager who will issue comments to the customer requesting revisions and clarification of the Scope of Work as necessary. Upon approval of a Scope of Work, NYSERDA will issue a Purchase Order to the Customer. Technical assistance provided and costs incurred prior to the issuance of this Purchase Order are ineligible for funding under the CHP and Renewable Generation component of this program.

Final reports must comply with the requirements in Appendix B-2. Upon receipt of two copies of the Draft Report, NYSERDA will issue comments to be addressed in the Final Report. Upon receipt and approval of the Final Report, NYSERDA will require a copy of the Service Provider's invoice to the customer showing the total study cost and an invoice from the customer to NYSERDA. NYSERDA will reimburse the customer the

percentage of the actual CHP and Renewable Generation Technical Assistance study cost incurred, subject to the maximum dollar amount as provided in the issued Purchase Order. When appropriate, NYSERDA may issue progress payments up to the allowable percentage of the study cost, not-to-exceed 50% of NYSERDA's total financial commitment.

PEAK-LOAD CURTAILMENT PLAN TECHNICAL ASSISTANCE

When NYSERDA receives an application (Appendix A and A-3), evidence of new NYISO registration and a completed Peak-Load Curtailment Plan, as defined in Appendix D, the package will be reviewed to verify that it meets the program requirements. If eligible, a Purchase Order will be issued to the Service Provider and the completed Peak-Load Curtailment Plan will be assigned a NYSERDA Technical Review Contractor who will issue comments requesting revisions and clarification of the Peak-Load Curtailment Plan as necessary.

Upon approval of a Peak-Load Curtailment Plan, NYSERDA will provide \$2/kW of the facilities peak summer (defined as May-October) demand, up to \$8,000 directly to the Service Provider.

V. REPORTING

A goal of this program is to share project successes with others who may gain from the transfer of technical information. In order to receive reimbursement, applicants awarded funding will be required to provide a Final Report or Peak-Load Curtailment Plan to NYSERDA. These reports must meet the requirements in Appendix B-2 or Appendix D as appropriate. A required one-page project summary sheet (Appendix B-3) will be provided by NYSERDA with the Purchase Order and must be completed for all projects.

In addition, an example of a project case study will be attached to the Purchase Order. This is to be used as a template to develop a case study of the findings of the technical assistance study, and must also be included with the Final Report. Other examples are available online under Technology Updates at: http://www.nyserda.org/programs/Technical_Assistance/tasuccess.asp.

Projects defined as energy efficiency RCx must also complete one NYSERDA RCx Deficiency Worksheet for each deficiency found (Appendix B-4). Each Deficiency Worksheet must have a corresponding line on the Project Summary Sheet.

VI. GENERAL CONDITIONS

PROPRIETARY INFORMATION

Careful consideration should be given before confidential information is submitted to NYSERDA as part of your proposal. Review should include whether it is critical for evaluating a proposal, and whether general, non-confidential information, may be adequate for review purposes. The NYS Freedom of Information Law, Public Officers law, Article 6, provides for public access to information NYSERDA possesses. Public Officers Law, Section 87(2) (d) provides for exceptions to disclosure for records or portions thereof that "are trade secrets or are submitted to an agency by a commercial enterprise or derived from information obtained from a commercial enterprise and which if disclosed would cause substantial injury to the competitive position of the subject enterprise." Information submitted to NYSERDA that the proposer wishes to have treated as proprietary and confidential trade secret information, should be identified and labeled "Confidential" or "Proprietary" on each page at the time of disclosure. This information should include a written request to except it from disclosure, including a written statement of the reasons why the information should be excepted. See Public Officers Law, Section 89(5) and the procedures set forth in 21 NYCRR Part 501 www.nyserda.org/nyserda.regulations.pdf. However, NYSERDA cannot guarantee the confidentiality of any information submitted.

OMNIBUS PROCUREMENT ACT OF 1992

It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority- and women-owned business enterprises, as bidders, subcontractors, and suppliers on its procurement Agreements.

Information on the availability of New York subcontractors and suppliers is available from:

Empire State Development
Division For Small Business
30 South Pearl Street
Albany, NY 12245

A directory of certified minority- and women-owned business enterprises is available from:

Empire State Development
Minority and Women's Business Development Division
30 South Pearl Street
Albany, NY 12245

LIMITATION

This solicitation does not commit NYSERDA to award a Purchase Order, pay any costs incurred in preparing an application, or to procure or contract for services or supplies. NYSERDA reserves the right to accept or reject any or all proposals received, to negotiate with all qualified sources, or to cancel in part or in its entirety the solicitation when it is in NYSERDA's best interest.

DISCLOSURE REQUIREMENT

The proposer shall disclose any indictment for any alleged felony, or any conviction for a felony within the past five years, under the laws of the United States or any state or territory of the United States, and shall describe circumstances for each. When a proposer is an association, partnership, corporation, or other organization, this disclosure requirement includes the organization and its officers, partners, and directors or members of any similarly governing body. If an indictment or conviction should come to the attention of NYSERDA after the award of a contract, NYSERDA may exercise its stop-work right pending further investigation, or terminate the agreement; the contractor may be subject to penalties for violation of any law which may apply in the particular circumstances. Proposers must also disclose if they have ever been debarred or suspended by any agency of the U.S. Government or the New York State Department of Labor.

CONTRACT AWARD FOR ENERGY EFFICIENCY PROJECTS

NYSERDA may award a Purchase Order based on applications without discussion, or following limited discussion, Scope of Work modifications or negotiations. NYSERDA may request additional data or material to support applications. A sample Purchase Order is available on request. NYSERDA expects to notify applicants in approximately three (3) weeks from the receipt of a complete application whether the application has been selected to receive an award.

CONTRACT AWARD FOR CHP AND RENEWABLE GENERATION PROJECTS

NYSERDA anticipates making multiple awards under this solicitation. It may award a contract based on initial applications without discussion, or following limited discussion or negotiations. Each offer should be submitted using the most favorable cost and technical terms. NYSERDA may request additional data or material to support applications. NYSERDA will use the Sample Agreement to contract successful proposals. NYSERDA expects to notify proposers in approximately three (3) weeks from the receipt of an application whether the application has been selected to receive an award.

CONTRACT AWARD FOR PEAK-LOAD CURTAILMENT PLAN TECHNICAL ASSISTANCE

NYSERDA may award a Purchase Order based on applications without discussion, or following limited discussion, application modifications or negotiations. NYSERDA may request additional data or material to support applications. A sample Purchase Order is available on request. NYSERDA expects to notify applicants in approximately three (3) weeks from the receipt of an application whether the application has been selected to receive an award.

PROJECT PAYMENTS

NYSERDA will reimburse successful applicants for NYSERDA's share of the actual Energy Efficiency Technical Assistance and CHP and Renewable Generation Technical Assistance study cost provided in the issued Purchase Order, at the conclusion of the Technical Assistance project and upon receipt of the required documentation as outlined in Section IV and Section V of this PON. Reimbursement will be based on services actually provided, as defined in the Scope of Work, and as documented by the successful applicant's technical assistance Service Provider's invoices and other documentation deemed necessary by NYSERDA.

PEAK-LOAD CURTAILMENT PLAN TECHNICAL ASSISTANCE PAYMENTS

NYSERDA will provide an incentive of \$2/kW (as outlined in Section IV) upon approval of the Peak-Load Curtailment Plan as outlined in Appendix D, copies of one year of electrical bills for the applicant facility and receipt of proof of new registration with the NY ISO's Demand Response Programs (ICAP/SCR) after the date of this PON. The curtailable load must be demonstrated to be feasible in the Peak-Load Curtailment Plan.

VII. OTHER OPPORTUNITIES

NYSERDA has a number of programs available to New York State businesses and industries. These include implementation assistance programs, as well as many others. More information can be found on our website at www.nyserdera.org, by e-mailing info@nyserdera.org or by calling toll free 1-866-NYSERDA.

VIII. ATTACHMENTS

Included:

- Appendix A: Customer Application
- Appendix A-1: Energy Efficiency Component Application
- Appendix A-2: CHP and Renewable Generation Component Application
- Appendix A-3: Peak-Load Curtailment Plan Component Application
- Appendix B-1: How to Create a Scope of Work
- Appendix B-2: Final Report Requirements
- Appendix B-3: Project Summary Sheet
- Appendix B-4: NYSERDA RCx Deficiency Worksheet (Filled in Example)
- Appendix C-1: CHP & Renewable Generation Eligible Projects
- Appendix C-2: Required information in a detailed CHP study (costing greater than \$10,000)
- Appendix C-3: Required information in a preliminary CHP study (costing less than \$10,000)
- Appendix C-4: Required information in a renewable generation study
- Appendix C-5: CHP & Renewable Generation Evaluation Criteria
- Appendix D: Peak-Load Curtailment Plan Requirements

Available at: http://www.nyserdera.org/programs/Technical_Assistance/default.asp

- Appendix B-4 - NYSERDA RCx Deficiency Worksheet (Blank Form)
- Project Summary Sheet

Available at: http://www.nyserdera.org/programs/Technical_Assistance/tasuccess.asp

- Case Studies